IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. BPHOTO.011

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

GARY A. EVANS

For: <u>CHIP-SCALE WDM SYSTEM USING GRATING-OUTCOUPLED SURFACE-EMITTING LASERS</u>

Assignee Name:

Photodigm, Inc.

Assignee Residence:

1155 East Collins Boulevard, Suite 200, Richardson, Texas 75081

Enclosed are also:

\underline{X} 23 Pages of Specification incl	luding an Abstract
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 \underline{X} 5 Pages of Claims (Claims 1 - 23)

 \underline{X} 7 Sheet(s) of *formal* drawings

X A Declaration and Power of Attorney

X Form PTO 1595 and assignment of the invention to Photodigm, Inc.

X Information Disclosure Statement

X Form PTO 1449 and References AG-AY

X Statement of Small Entity Status

CLAIMS AS FILED

FOR	Number Filed		Number Extra	•	Rate		Basic Fee (\$385)
Total Claims	23	-20 =	3	X	\$ 9	=	\$ 27.00
Independent Claims	6	- 3 =	3	X	\$ 43	=	\$129.00
Multiple Dependent Claims	0			X	\$140	=	\$ 0.00
				Total	Filing Fee	=	\$541.00

Please charge \$541.00 to Deposit Account No. 50-0392.

X The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to Deposit Account No. 50-0392. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 CFR § 1.16.

 \underline{X} Any patent application processing fees under 37 CFR § 1.17.

Respectfully,

Duke W. Yee

Reg. No. 34, 285

Carstens, Yee and Cahoon, L.L.P.

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031088 U.S. PTO 10/682562

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number <u>EV322434608US</u>. Date of deposit <u>October 9</u>, <u>2003</u>. I hereby certify that the papers or fees listed below are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



ENCLOSED are the following documents, being sent by "Express Mail":

- 1. Transmittal Letter in duplicate;
- 2. Statement of Small Entity Status;
- 3. Recordation;
- 4. Assignment (1);
- 5. Declaration and Power of Attorney (1);
- 6. Patent Application (23 pgs. spec. & abstract, 5 pgs. Claims 1 23);
- 7. 7 pages of formal drawings;
- 8. Information Disclosure Statement;
- 9. Form PTO 1449 and References AG-AY
- 10. Our check in the amount of \$581.00; and
- 11. Two return postcards.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application: Evans

Serial No.: Unknown

Filed: October 9, 2003

For: Chip-Scale WDM System Using Grating-Outcoupled Surface-Emitting

Lasers

Attorney Docket No.: BPHOTO.011

STATEMENT OF SMALL ENTITY STATUS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Photodigm, Inc. is entitled to small entity status thereby allowing them to pay reduced filing fees for the enclosed patent application.

DATE: 1019/03

Respectfully submitted,

Duke W. Yee Reg. No. 34,285

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Attorney for Applicant